

Compliance Council SWOT Register

RISK MATRIX:

Consequence	Likelihood				
	5. Certain to Occur	4. Very Likely	3. Not Likely but possible	2. Unlikely	1. Rare
5. Extreme	4. Extreme	4. Extreme	4. Extreme	4. Extreme	3. High
4. High	4. Extreme	4. Extreme	3. High	3. High	3. High
3. Moderate	3. High	3. High	2. Medium	2. Medium	2. Medium
2. Minor	2. Medium	2. Medium	1. Low	1. Low	1. Low
1. Negligible	1. Low	1. Low	1. Low	1. Low	1. Low

Version	Issue Date	Comment	Author

For the Corporate Risk Register and Opportunities Register, see next tab

Likelihood

5. Certain to Occur	Occurred within the business in the last month.
4. Very Likely	Occurred within the business in the last 6 months.
3. Not Likely but possible	Occurred within the business in the last 12 months or has occurred within the industry in the last 6 months.
2. Unlikely	Not occurred within the business but has occurred within the industry in the last 12 months.
1. Rare	Occurred within the industry in the last 5 years.

Consequence	Injury/Safety	Environmental	Financial	Reputational
5. Extreme	Potential death, permanent disability or major structural failure/damage	Extreme environmental impact: Extended exposure with long term harmful impact on the natural environment.	Event impact on income before tax > \$50k. Intense scrutiny from financial community (e.g. ATO).	Prolonged adverse national media attention or widespread condemnation. Loss of nationwide client.
4. High	Potential long-term disability, hospital in-patient, major repairs or damage	High environmental impact: Extended exposure with no long term harmful impact on the natural environment.	Event impact on income before tax between \$10k - \$50k.	Major adverse national media attention. Loss of a state-wide client. Regulatory body investigation (e.g. SafeWork NSW)
3. Moderate	Potential temporary disability, medical treatment by doctor or hospital out-patient, an extended period away from work or minor structural failure/damage	Medium and localised environmental impact: No long term harmful effect on the natural environment.	Event impact on income before tax between \$2.5k - \$10k.	Significant adverse local media attention and/or heightened concern by local community. Impact on client's reputation / litigation from client.
2. Minor	Incident has the potential to cause person(s) to require first aid, short time off work, minor repairs	Low environmental impact: Limited short-term effect on the natural environment.	Event impact on income before tax between \$500 - \$2.5k.	Minimal adverse local media attention and/or heightened concern by local community. Complaints on clients reputation/ threat of litigation from client.
1. Negligible	No injuries / no first aid	Low environmental impact: Temporary effects on the natural environment.	Event impact on income before tax less than \$500.	Complaint from local community that can be managed within 3 working days.

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SWOT ANALYSIS

#	Description of SWOT	Type	Current control / actions undertaken	Risk	Actions to be taken to address risk and opportunity	Person Responsible	Was the action taken effective? (Review at MRM)
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Interested Parties

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