



## Cobalt Reporting Template (CRT)

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English

Revision 2.2  
 October 26, 2020

[Link to Terms & Conditions](#)

The purpose of this document is to collect sourcing information on cobalt.

Mandatory fields are noted with an asterisk (\*). Consult the instructions tab for guidance on how to answer each question.

Company Information	
Company Name (*):	Keats Southwest
Declaration Scope or Class (*):	A. Company
Description of Scope:	Cobalt Reporting Template (CRT)
Company Unique ID:	867448714
Company Unique ID Authority:	DUNS
Address:	11425 Rojas Dr.
Contact Name (*):	Marlo Rodriguez
Email - Contact (*):	<a href="mailto:marlo@keatsww.com">marlo@keatsww.com</a>
Phone - Contact (*):	9155992950
Authorizer (*):	Marlo Rodriguez
Title - Authorizer:	Quality Manager
Email - Authorizer (*):	<a href="mailto:marlo@keatsww.com">marlo@keatsww.com</a>
Phone - Authorizer:	9155992950
Effective Date (*):	30-Mar-2021

Answer the following questions 1 - 6 based on the declaration scope indicated above

1) Is any of the cobalt intentionally added or used in the product(s) or in the production process? (*)	Answer	Comments
Cobalt(*)	Yes	
2) Do any of the smelters in your supply chain source the cobalt from a Conflict-Affected and High-Risk area? (OECD Due Diligence Guidance, see definitions tab) (*)	Answer	Comments
Cobalt(*)	No	
3) Does 100 percent of the cobalt originate from recycled or scrap sources? (*)	Answer	Comments
Cobalt(*)	Unknown	
4) What percentage of relevant suppliers have provided a response to your supply chain survey? (*)	Answer	Comments
Cobalt(*)	100%	
5) Have you identified all of the smelters supplying the cobalt to your supply chain? (*)	Answer	Comments
Cobalt(*)	Yes	



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6) Has all applicable smelter information received by your company been reported in this declaration? (\*)

	Answer	Comments
Cobalt(*)	Yes	

### Answer the Following Questions at a Company Level

Question	Answer	Comments
A. Have you established a publicly available cobalt sourcing policy? (*)	Yes	
B. Does your policy cover, at a minimum, all risks in the OECD Due Diligence Guidance Annex II Model Policy, as well as the worst forms of child labor? (*)	Yes	
C. Have you implemented due diligence measures for cobalt in the declaration scope indicated above? (*)	Yes	
D. Do you require suppliers to exercise due diligence over the cobalt supply chain in accordance with the OECD Due Diligence Guidance? (*)	Yes	
E. Do you require your direct suppliers to source cobalt from smelters whose due diligence practices have been validated by an independent third party audit program? (*)	Yes	
F. Do you require suppliers' due diligence practices to cover, at a minimum, all risks in the OECD Due Diligence Guidance Annex II Model Policy, as well as the worst forms of child labor? (*)	No	
G. Does your company conduct cobalt supply chain survey(s) of your relevant supplier(s)? (*)	Yes, CRT	
H. Do you review due diligence information received from your suppliers against your company's expectations? (*)	Yes	
I. Does your review process include corrective action management? (*)	Yes	