



Leading Nordic investment bank selects guardREC® Compliance to improve proactive compliance assurance



Business needs

Future-proof and robust solution for communications record-keeping and compliance monitoring. Ensure efficient compliance of industry-specific requirements such as MiFID II and GDPR. Global oversight of all communications data for compliance. Optimize investigation and risk management. Recording capability for trade boards, office phones, mobile phones, emails and the ability to easily add additional recording sources, such as Microsoft Teams, Bloomberg and Refinitiv Eikon.

Solution

guardREC® Compliance is a centralized compliance solution specifically designed for financial services to support all relevant requirements and regulations. The solution provides a global view of your communications data, including multiple interchangeable plugins to capture audio, text, screens and video. The additional state-of-the-art compliance functionality enables financial services to assure regulatory compliance in an easier, less complex and far more cost-effective way.

Capture and retrieve everything

- Microsoft Teams
- Trade boards
- Office phones
- Mobile phones
- Email, SMS and MMS
- WhatsApp, Bloomberg, Eikon

Proactive compliance assurance

- Global view of all communication
- Automatic transcription
- Configurable review queues
- Risk detections
- Compliance dashboard
- Automatic reporting