PDPM Chart Audit

Auditor Name/Title: _____   Date of Audit: _____

Audit Report Submitted: _____

This audit format form contains the MDS 3.0 items that drive payment for the Part A Medicare PDPM SNF-PPS payment. This audit checklist will document the presence and location of documentation in the record to support the MDS 3.0 data. This audit does not document the coverage requirements from the Medicare Benefit Policy Manual, Chapter 8. Audit processes can begin with HIPPS code - MDS 3.0 Section Z-100 and document all pertinent documentation for coded items in the entire medical record.

Items on the audit checklist with a number before the item in column two are NTA items with assigned point values. All other items are contributing codes for conditions, diagnoses, services and contributing assessment documentation. The interview documentation, diagnosis codes, therapy treatments and specific codes for Section GG are in separate sections.

Be careful to evaluate all diagnosis codes with RAI manual directions (Section I, Chapter 3) and CMS mapping directives.

Resident Name: _____   Entry/Reentry Date: _____   Part A Date: _____

Assessment Type: _____   ARD: _____   HIPPS Code: _____   SLP: _____   NSG: _____   NTA: _____

Medicare Certification Status

Initial Date: _____ 14 Day: _____ 44 Day: _____ 74 Day: _____

Active Diagnosis	ICD 10	MDS Coding Item	Documentation & Validation

Admission Assessment Therapy Treatments					
	PT	OT	SLP	Orders Signed	Diagnoses
Therapy Orders					
Evaluations					
Clarification Order					
Date of Therapy Started					

Section GG Coding				
	Code on MDS	Nursing	Therapy	Location of Documentation
GG0130A1 Self Care: Eating				
GG0130B1 Self Care: Oral Hygiene				
GG0130C1 Self Care: Toileting Hygiene				
GG0170B1 Mobility: Sit to Lying				
GG0170C1 Mobility: Lying to Sitting on Side of Bed				
GG 0170D1 Mobility: Sit to Stand				
GG0170E1 Mobility: Chair/Bed-to-Chair Transfer				
GG0170F1 Mobility: Toilet Transfer				
GG0170J1 Mobility: Walk 50 Feet with 2 Turns				
GG0170K1 Mobility: Walk 150 Feet				

PDPM Interview Documentation

Interview (BIMS)

Date Completed: _____   Staff Name/Title: _____   Score: _____

Location of Documentation: _____

Mood Interview (PHQ9)

Date Completed: _____   Staff Name/Title: _____   Score: _____

Location of Documentation: _____

NTA Points	MDS 3.0 Payment Items	MDS Code	Documentation	
8	8-HIV/AIDS	SNF Claim		
	Primary Diagnosis – List	I0020B		
	Surgical Procedures – List	J2100/J2300-J5000		
	Aphasia	I4300		
	Cerebrovascular Accident, Transient Ischemic Attack, Stroke	I4500		
	Hemiplegia, Hemiparesis	I4900		
	Hemiplegia, Hemiparesis with FPS of <= 11	I4900 + FPS		

NTA Points	MDS 3.0 Payment Items	MDS Code	Documentation	
	Traumatic Brain Injury	I5500		
	Laryngeal Cancer	I8000		
	Apraxia	I8000		
	Dysphagia	I8000		
	ALS	I8000		
	Oral Cancers	I8000		
	Speech and Language Deficits	I8000		
	Mechanically Altered Diet	K0510C2		
	Possible Swallowing Disorder	K0100A, B, C or D		
	Comatose	B0100		
	Septicemia	I2100		
	Quadriplegia with FPS <= 11	I5100		
	Fever and Pneumonia	J1550A, I2000		
	Fever and Vomiting	J1550A, J1550B		
	Fever and Weight Loss	J1550A, K0300 (1,2)		
	Fever and Feeding Tube	J1550A, K0510B1 or K0510B2		
	Parenteral/IV Feedings- while a resident	K0510A1, K0510A2		
	Respiratory Therapy 7 Days – within the A.R.P.	O0400D2		

NTA Points	MDS 3.0 Payment Items	MDS Code	Documentation	
	Cerebral Palsy with FPS <= 11	I4400 + FPS		
	Parkinson's with FPS <= 11	I5300 + FPS		
	Respiratory Failure and Oxygen while a Resident	I6300, O0100C2		
	Feeding Tube	K0510B1 or K0510B2		
	>= 2 Stage 2 Pressure Ulcers with 2 or more Selected Skin Treatments	M0300B1		
	>= 1 Stage 3 or 4 Pressure Ulcer with 2 or more Selected Skin Treatments	M0300C1, D1, F1		
	>=2 Venous/arterial ulcers with 2 or more Selected Skin Treatments	M1030		
	1 stage 2 Pressure Ulcer and 1 venous/arterial ulcer with 2 or more Selected Skin Treatments	M0300B1, M1030		
	Selected Skin Treatments include the items listed	M1200A/B, M1200C, M1200D, M1200E, M1200G, M1200H		
	Foot infection, diabetic foot ulcer or other open lesion of foot with application of dressings to the feet	M1040A, B, C and M1200I		
	Radiation Treatment while a Resident	O0100B2		
	Dialysis Treatment while a Resident	O0100J2		
	Pneumonia	I1200		
	Open lesions (other than ulcers, rashes, and cuts) with any selected skin treatment* or surgical wounds	M1040D, M1040E		

NTA Points	MDS 3.0 Payment Items	MDS Code	Documentation	
	Burns	M1040F		
	Chemotherapy while a Resident	O0100A2		
	Oxygen Therapy while a Resident	O0100C2		
	IV Medications while a Resident	O0100H2		
	Transfusions while a Resident	O0100I2		
	Hallucinations	E0100A		
	Delusions	E0100B		
	Physical Behavioral Symptoms Directed toward Others (2 or 3)	E0200A		
	Verbal Behavioral Symptoms Directed toward Others (2 or 3)	E0200B		
	Other Behavioral Symptoms Not Directed toward Others (2 or 3)	E0200C		
	Rejection of Care (2 or 3)	E0800		
	Wandering (2 or 3)	E0900		
7	Parenteral IV Feeding: Level High – While a Resident	K0710A2, K0510A2		
5	Special Treatments/Programs: Intravenous Medication Post-admit Code	O0100H2		
4	Special Treatments/Programs: Ventilator or Respirator Post-admit Code	O0100F2		
3	Parenteral IV Feeding: Level Low – While a Resident	K0510A2, K0710A2, K0710B2		
3	Lung Transplant Status	I8000		

NTA Points	MDS 3.0 Payment Items	MDS Code	Documentation	
2	Special Treatments/Programs: Transfusion Post-admit Code	O0100I2		
2	Major Organ Transplant Status, Except Lung	I8000		
2	Active Diagnoses: Multiple Sclerosis Code	I5200		
	Multiple Sclerosis with FPS <= 11	I5200 + FPS		
2	Opportunistic Infections	I8000		
2	Active Diagnoses: Asthma COPD Chronic Lung Disease Code	I6200		
	Shortness of Breath when Lying Flat	J1100C		
2	Bone/Joint/Muscle Infections/ Necrosis –Except Aseptic Necrosis of Bone	I8000		
2	Chronic Myeloid Leukemia	I8000		
2	Wound Infection Code	I2500		
2	Active Diagnoses: Diabetes Mellitus (DM) Code	I2900		
	Insulin Injections 7 Days	N0350A		
	Insulin Order Changes >= 2 Days	N0350B		
1	Endocarditis	I8000		
1	Immune Disorders	I8000		
1	End-Stage Liver Disease	I8000		

NTA Points	MDS 3.0 Payment Items	MDS Code	Documentation	
1	Other Foot Skin Problems: Diabetic Foot Ulcer Code	M1040B		
1	Narcolepsy and Cataplexy	I8000		
1	Cystic Fibrosis	I8000		
1	Special Treatments/Programs: Tracheostomy Care Post-admit Code	O0100E2		
1	Active Diagnoses: Multi-Drug Resistant Organism (MDRO) Code	I1700		
1	Special Treatments/Programs: Isolation Post-admit Code	O0100M2		
1	Specified Hereditary Metabolic/Immune Disorders	I8000		
1	Morbid Obesity	I8000		
1	Special Treatments/Programs: Radiation Post-admit Code	O0100B2		
1	Highest Stage of Unhealed Pressure Ulcer- Stage 4	M0300D1		
1	Psoriatic Arthropathy and Systemic Sclerosis	I8000		
1	Chronic Pancreatitis	I8000		
1	Proliferative Diabetic Retinopathy and Vitreous Hemorrhage	I8000		
1	Other Foot Skin Problems: Foot Infection Code, Other Open Lesion on Food Code, Except Diabetic Foot Ulcer Code	M1040A, M1040B M1040C		
1	Complications of Specified Implanted Device or Graft	I8000		

NTA Points	MDS 3.0 Payment Items	MDS Code	Documentation	
1	Bladder and Bowel Appliances: Intermittent Catheterization. Defined as insertion and removal of a catheter through the urethra for bladder drainage	H0100D		
1	Inflammatory Bowel Disease	I1300		
1	Aseptic Necrosis of Bone	I8000		
1	Special Treatments/Programs: Suctioning Post-admit Code	O0100D2		
1	Cardio-Respiratory Failure and Shock	I8000		
1	Myelodysplastic Syndromes and Myelofibrosis	I8000		
1	Systemic Lupus Erythematosus, Other Connective Tissue Disorders, and Inflammatory Spondylopathies	I8000		
1	Diabetic Retinopathy- Except Proliferative Diabetic Retinopathy and Vitreous Hemorrhage	I8000		
1	Nutritional Approaches - Feeding Tube – While a Resident	K0510B2		
1	Severe Skin Burn or Condition	I8000		
1	Intractable Epilepsy	I8000		
1	Active Diagnoses: Malnutrition Code	I8000		
1	Disorders of Immunity- Except: RXCC97: Immune Disorders	I8000		
1	Cirrhosis of Liver	I8000		

NTA Points	MDS 3.0 Payment Items	MDS Code	Documentation	
1	Bladder and Bowel Appliances: Ostomy. Defined as any type of surgically created opening of the gastrointestinal or genitourinary tract for discharge of body waste. (urostomy, ileostomy, colostomy)	H0100C		
1	Respiratory Arrest	I8000		
1	Pulmonary Fibrosis and Other Lung Disorders	I8000		

Notes